

Date: 06/08/2015

**FREEDOM OF INFORMATION REQUEST FOI/012320 – Serious untoward incidents**

**A list of all serious untoward incidents in the calendar year 2015 so far, for each hospital site you cover.**

**I would like these broken down by hospital site if you cover more than one hospital.**

**I would like the details of each incident to include:**

**a) the date it occurred (or, if this is not easily possible, the date it was declared)**

**b) a description of the incident (I appreciate details of individual patients may need to be redacted, but would like as much detail as you deem possible, e.g. for example "a male patient aged 65", or "a female patient aged 60-65")**

**c) the outcome of the incident (ie what negative consequences followed), and d) the outcome of the internal investigation into the incident, including whether any disciplinary action has been taken.**

In response to the questions above, the type of information you have requested is 'reasonably accessible' (Section 21 of the Freedom of Information Exemptions) from investigations and findings that have taken place within the Trust under the Incident Management section of the Trust's Annual Report 2014/2015 under the following link:

<http://dudleygroup.nhs.uk/wp-content/uploads/2014/02/The-Dudley-Group-Annual-Report-and-Accounts-Incorporating-the-Quality-Report-2014-15.pdf> - see actual pages 201 and 202.

To protect the identity of any patients or staff the information published is by year and not by the exact date the incident occurred or was declared.

Descriptions of incidents are not documented to ensure that individuals do not suffer any harm or distress from Trust publications in the public domain.

However please find below a table detailing the types of incident for the first quarter of 2014/15 to the last quarter of 2014/15 and the first quarter of 2015/2016

	Q1 14/15	Q2 14/15	Q3 14/15	Q4 14/15	Q1 15/16
Appointments, Discharge & Transfers	0	0	2	0	1
Clinical Care (Assessment/Monitoring)	0	3	1	5	4
Diagnosis & Tests	2	1	0	1	2
Equipment	1	1	1	0	0
Facilities and Estates	0	0	0	1	0
Health & Safety	1	1	1	3	2
Infection Control	1	3	3	1	2
Medication	0	3	1	0	1
Obstetrics	3	7	6	2	6
Patient Falls, Injuries or Accidents	10	3	12	4	8
Records, Communication & Information	4	2	5	2	2
Pressure Ulcer	22	6	59	46	64
Violence, Aggression & Self Harm	2	2	1	1	2
Workforce	2	0	0	0	0
<b>Total</b>	<b>48</b>	<b>32</b>	<b>92</b>	<b>66</b>	<b>94</b>

Information regarding the outcome of internal investigations of staff conduct is not held centrally within the Incident Reporting database but within individual paper case files. Re requests for the disclosure of such personal information is covered by exemption 40 (2) of the Freedom of Information Act, personal data belonging to Trust employees, where disclosure of the information would contravene one of the Data Protection Principles in the Data Protection Act schedule 1 (Personal data shall be obtained only for one or more specified and lawful purposes, and shall not be further processed in any manner incompatible with that purpose or those purposes.)