

Date: 22/06/2016

FREEDOM OF INFORMATION REQUEST 012811 – Data protection/information security incidents

1a. Approximately how many members of staff do you have?

At March 31st 2015 The Trust employed 4,139 full time equivalent members of staff.

1b. Approximately how many contractors have routine access to your information?

Can I clarify what is meant by:

Question 1b Approximately how many contractors have routine access to your information?

I am looking to establish who has access to your organisations information on a regular basis other than your directly employed staff. If all your information is held on computer systems, then an employee of a third party contractor with a user account on your computer system would be included. It is more of the long term consultants/contractors/third parties who are contributing to your business output that I am looking to capture.

I recognise the transient nature of these people and that any figure in this area is a best guess, hence the request for an approximation. The number of user accounts on a computer system does tend to align with my question of who has regular access, unless you are still heavily dependent on hard copy documents.

The Trust currently has approximately 5 contractors with a login into Trust systems for business purposes.

2a. Do you have an information security incident/event reporting policy/guidance/management document(s) that includes categorisation/classification of such incidents?

Yes

2b. Can you provide me with the information or document(s) referred to in 2a? (This can be an email attachment of the document(s), a link to the document(s) on your publicly facing web site or a 'cut and paste' of the relevant section of these document(s))

The Incident Reporting Policy and Serious Incident Reporting Policy have just been reviewed and are undergoing ratification. They will be available by 28.6.2016. We will ensure to send you copies.

3a. Do you know how many data protection incidents your organisation has had since April 2011? (Incidents reported to the Information Commissioners Office (ICO) as a Data Protection Act (DPA) breach)

Answer: Yes, No, Only since (date):

Yes

3b. How many breaches occurred for each Financial Year the figures are available for?

Answer

FY11-12: 0 level 2 reported to the ICO

FY12-13: 0 level 2 reported to the ICO

FY13-14: 0 level 2 reported to the ICO

FY14-15: 2 level 2 reported to the ICO

4a. Do you know how many other information security incidents your organisation has had since April 2011?

Please find response for 4a – 6b at the bottom of the page.

(A breach resulting in the loss of organisational information other than an incident reported to the ICO, eg compromise of sensitive contracts or encryption by malware)

Answer: Yes, No, Only since (date):

4b. How many incidents occurred for each Financial Year the figures are available for?

Answer

FY11-12:

FY12-13:

FY13-14:

FY14-15:

5a. Do you know how many information security events/anomaly your organisation has had since April 2011?

(Events where information loss did not occur but resources were assigned to investigate or recover, eg nuisance malware or locating misfiled documents.)

Answer: Yes, No, Only since (date):

5b. How many events occurred for each Financial Year the figures are available for?

Answer

FY11-12:

FY12-13:

FY13-14:

FY14-15:

6a. Do you know how many information security near misses your organisation has had since April 2011? (Problems reported to the information security teams that indicate a possible technical, administrative or procedural issue.)

Answer: Yes, No, Only since (date):

6b. How many near-misses occurred for each Financial Year the figures are available for?

Answer

FY11-12:

FY12-13:

FY13-14:

FY14-15:

In response to 4a – 6b, the Trust's archive incident reporting system (which was replaced in February 2016) which covers the dates above, did not separate IT breaches from other types of Confidentiality/Data Protection breach. The current system however does. The collate specific IT incidents from our archive reporting system, looking through the free text fields for financial years 2011 – 2015 would take over the 18 hours allotted by the Freedom of Information Act.