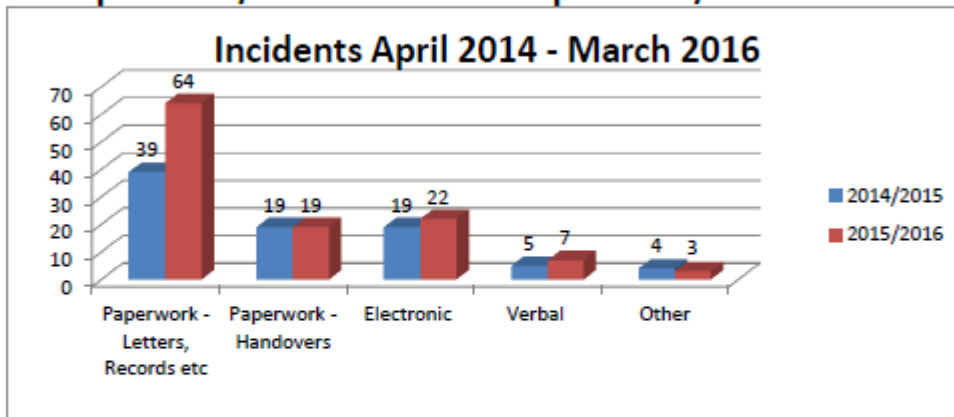


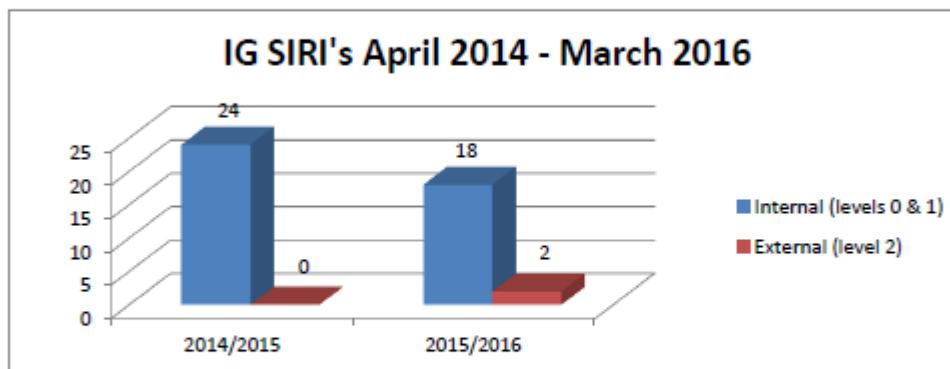
Date: 21/06/2016

FREEDOM OF INFORMATION REQUEST FOI/012799 – IG related incidents

**Information Governance Incidents from
 April 2014/March 2015 and April 2015/March 2016**



Type of Incident	Worcestershire Acute		2014/2015	2015/2016
	2014/2015	2015/2016		
Paperwork - Letters, Records etc	39	64		
Paperwork - Handovers	19	19		
Electronic	19	22		
Verbal	5	7		
Other	4	3		
Total	86	115		



Type of Incident	Worcestershire Acute		2014/2015	2015/2016
	2014/2015	2015/2016		
Internal (levels 0 & 1)	24	18		
External (level 2)	0	2		
Total	24	20		

In regard to your request attached please find information below for the dates requested. We are unable to input the figures into your table as our subcategories differ to yours.

Governance Data Protection incident report April 2014 – March 2015 from our *archive system*

	Apr-14 – Mar - 15
(REC) Confidentiality Breach - Face to Face (Verbal)	5
(REC) Confidentiality Breach - Incorrect Storage/Transfer	17
(REC) Confidentiality Breach - Letter/Email/Internet/Fax/Telephone	39
(REC) Confidentiality Breach - Records/Information	42
Total	103

Governance Data Protection STEIS report April 2014 – March 2015 – from our *archive system*

Count of incidents by Sub Category and on STEIS (External) – from our *archive system*

	Total
(REC) Confidentiality Breach - Face to Face (Verbal)	0
(REC) Confidentiality Breach - Incorrect Storage/Transfer	0
(REC) Confidentiality Breach - Letter/Email/Internet/Fax/Telephone	3
(REC) Confidentiality Breach - Records/Information	11
Total	14

Of the STEIS Reports above, between April 2014 and March 2015 one incident was a Level 2 incident reported to the Information Commissioner's Office February 2015 under the category of Records/Information (alleged inappropriate access to records).

Governance Data Protection incident report 1st April 2015 – 31st January 2016 from our *archive system*

	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Total
(REC) Confidentiality Breach - Face to Face (Verbal)	0	2	0	0	0	0	0	1	0	0	3
(REC) Confidentiality Breach - Incorrect Storage/Transfer	1	1	0	2	2	3	3	1	2	0	15
(REC) Confidentiality Breach - Letter/Email/Internet/Fax/Telephone	5	0	2	3	2	9	3	4	2	5	35
(REC) Confidentiality Breach - Records/Information	6	2	3	5	1	6	2	4	4	4	37
Total	12	5	5	10	5	18	8	10	8	9	90

Information Governance Data Protection STEIS report 1st April 2015– 31st January 2016 – from our *archive system*

	Apr	May	Jun	Total
(REC) Confidentiality Breach - Letter/Email/Internet/Fax/Telephone	0	0	1	1
(REC) Confidentiality Breach - Records/Information	1	1	1	3
Total	1	1	2	4

Information Governance Data Protection incident report February 2016 – March 2016 – from our new system

	Feb 2016	Mar 2016	Total
(REC) Confidentiality Breach - Face to Face (Verbal)	1	0	1
(REC) Confidentiality Breach - Incorrect Storage/Transfer	1	3	4
(REC) Confidentiality Breach - Letter/Email/Internet/Fax/Telephone	7	8	15
(REC) Confidentiality Breach - Records/Information	9	4	13
Total	18	15	33

Information Governance Data Protection STEIS report 1st February 2016 – 31st March 2016 – from our new system

Count of incidents by Sub Category and on STEIS (External)

	Feb 2016	Mar 2016	Total
(REC) Confidentiality Breach - Letter/Email/Internet/Fax/Telephone	0	0	0
(REC) Confidentiality Breach - Records/Information	2	1	3
Total	2	1	3

Of the STEIS Reports above, between April 2015 and March 2016 one incident was a Level 2 incident reported to the Information Commissioner’s Office February 2016 under the category of Records/Information (alleged inappropriate access to records)

The Dudley Group comprises of Russells Hall Hospital, The Corbett Outpatient Centre and The Guest Outpatient Centre and also provides specialist adult community based care in patients’ homes and in more than 40 centres in the Dudley Metropolitan Borough Council community. At March 31st 2015 we employed 4,139 full time equivalent members of staff.