

Date: 12/04/2017

FREEDOM OF INFORMATION REQUEST FOI/013359 – Risk register

I am writing to request a set of risk data from your risk register system in accordance with the Freedom of Information Act. I would like to obtain the data for the following five risks that were registered in your risk register system in the last 5 years:

- ? C difficile infections (or any other infection control related risks)
- ? Access to blood fridge
- ? Slippery floor
- ? Trailing cables (or cable trip hazards related risks)
- ? Staff shortage

Please note that I am not looking for raw number of events, but the following details for each risk:

- 1) Risk descriptions
- 2) Risk type and sub-type
- 3) Initial (or inherent), current (or residual) and targeted risk (likelihood and consequence) scores

Risk assessed for Register	Risk	Risk description	Risk type/sub-type	Initial risk score (L,C)	Current risk score (L,C)	Targeted risk score (L,C)	Status
30/06/17	Infections	The risk of women & babies developing a Hospital Acquired Infection whilst on the Maternity Unit.	Clinical risks/ infection control	4x3 = 12	4x3 = 12	4x2 = 8	closed
06/05/15	Cdifficile infections (or any other infection control related risks)	Failure to deliver the significantly reduced C. Diff target of just 29 cases within 2015/16		5x4 = 20	5x4 = 20	2x5 = 10	Closed
15/02/16	Cdifficile infections (or any other infection control related risks)	Failure to stay within the Trust threshold of 29 avoidable post 48 hours C. Difficile toxin positive cases 2015/16 and 2016/17		4x5 = 20	4x5 = 20	2x5 = 10	
	Access to blood fridge	Nil					
20/08/13 22/06/16	Slippery floor	Patients, visitors and/or staff could slip, trip or fall due to hazards not being identified and/or addressed.	Health and Safety	3 x 3 = 9	3 x 3 = 9	2X3 = 6	Closed

Risk assessed for Register	Risk	Risk description	Risk type/sub-type	Initial risk score (L,C)	Current risk score (L,C)	Targeted risk score (L,C)	Status
	Trailing cables (or cable trip hazards related risks)	Nil					
28/11/14	Staff shortage	The registered Nursing (RN) staffing levels do not meet Safe Staffing for Nursing in Adult Patient Wards in Acute Hospitals NICE /guidance	Staffing	4x5 = 20	4x5 = 20	3x5 = 15	Closed – rewritten as new risk 19/11/15
03/01/15		The need for a Medical 'Workforce Plan' - a fit-for-purpose workforce is needed to meet service needs		15	3x3 = 9	2x2 = 4	closed
24/03/15		There is a risk that the 3 year breast surveillance programme timescales may not be met and Breast clinic waiting list targets may be missed thus delaying diagnosis and treatment for patients; due to a shortage of appropriately qualified Mammographers.		3x3 = 9	3x3 = 9	2x3 = 6	
19/11/2015 V2 01/04/17		An inability to maintain the delivery of the safer staffing levels in relation to ward nurse staffing resulting in the increased use of temporary staffing leading to financial overspend.		4x5 = 20	4x5 = 20	2x5 = 10	Closed V2 Merged with new risk

Risk assessed for Register	Risk	Risk description	Risk type/sub-type	Initial risk score (L,C)	Current risk score (L,C)	Targeted risk score (L,C)	Status
							8/10/17
31/07/17		Levels and consistency of care could be compromised due to the levels of vacancy, sickness and maternity leave within the midwifery establishment.		4x4 = 16	4x4 = 16	2x4 = 8	
4/2/16		The Community Service has an establishment of 6.15 wte qualified SLT staff. There is current substantive staff in post equating to 1.15 wte. Locums are currently in post covering an additional 1.2 wte. This leaves a shortfall of SLT staffing as 3.8 wte		4x4 = 16	4x4 = 16	3x3 = 9	closed
08/10/17		Inability to recruit and retain staff in key posts could impact on service quality, patient and staff experience of the Trust couple with a need to then fill via agency use putting further pressure on the Trust's budget and its ability to secure the sustainability and transformation fund in quarters 2, 3 and 4.		4x5 = 20	4x5 = 20	3x4 = 12	