



# Annual Report and Accounts 2021/2022

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## 1. Foreword

Welcome to The Dudley Group NHS Foundation Trust Charity's Annual Report 2021/22. We have shared here some of the fantastic work of our fundraisers at the Dudley Group NHS Charity and the huge difference their generosity is making to patients, visitors, and staff at The Trust.

I would like to begin by saying a heartfelt thank you to everyone who has supported us so brilliantly during what has been an incredibly challenging year.

The last two years have been like no ordinary years, but even during the most challenging time in the NHS's history, the Dudley spirit has shone through.

The COVID-19 pandemic has brought out the best in our staff and volunteers and those of our partner organisations, in both clinical and non-clinical roles. It affected every part of our lives both at home and at work, while also leading to the cancellation of many of our planned fundraising events.

Despite this, we were overwhelmed by the generosity of our communities, local businesses, and schools. Our amazing supporters have continued to find new and innovative ways to raise money for us by participating in online challenges, virtual bucket collections and by selling good and crafts.

We also received an enormous number of enquiries from people keen to find out what they could do to help, along with donations of scrubs, food, drink, face shields and care packages as a way of saying thank you.

We launched our COVID-19 Dudley Group Crisis Appeal at the start of the pandemic to support our amazing NHS staff and volunteers who worked tirelessly to care for COVID-19 patients. Thanks to the generosity of local people from Dudley, community organisations, businesses, and NHS Charities Together, we raised an incredible £809,129.

The funding has supported the development of a range of initiatives and the purchase of important items, for both our acute hospital and community services, such as, staff rest areas indoors and outdoors across the trust, staff and patient care packages, patient privacy screens, specialist equipment, additional staff training and a number of improvements to the hospital environment.

This year - more than ever - I feel extremely proud to Chair the Charitable Funds Committee, and I hope you will feel as inspired as I do as you read on about the Dudley Group NHS Charity's work and our achievements.

Julian Atkins  
Chair of charitable funds committee  
Non-executive director



I would like to welcome you to The Dudley Group NHS Foundation Trust Charity's Annual Report 2021/22.

As the chief executive of The Dudley Group NHS Foundation Trust and as a member of the charitable funds committee I would like to thank all the generous individuals, organisations and businesses who have supported our charity over the past year.

Charitable funds make a huge difference to our patients, their carers and our staff, they help to provide those added extras that would not be possible otherwise.

I hope hearing about the amazing fundraising initiatives, events and highlights within this report inspires you to support Trust Charity for many years to come.

Diane Wake  
Chief executive  
Member of charitable funds committee



## 1. About The Dudley Group NHS Charity

Our charity mission is to enhance and further improve the high-quality services offered by the Trust, providing additional comfort and benefit to its patients and staff, by focusing the funds and expenditure on areas not covered or fully supported by NHS funds.

The Charitable Funds Committee is made up of members of the Trust Board and oversees the workings of the charity. Nithee Kotecha is the head of fundraising for the Trust **Dudley Group NHS Charity** (Charity No – 1056979).

### 2.1 How we use charitable funds

**Provide specialist medical equipment** – We are able to fund projects that allow us to purchase specialist equipment for our patients in need of specialist care that NHS funds cannot provide such as:

- Digital reminiscence technology for dementia patients in our various wards
- Shockwave therapy equipment for our Corbett outpatient centre
- Stella 150 ventilator for our respiratory ward

**Provide specialist training** – The equipment we can provide often needs specialist training, we can use our funds to help train our staff to use this equipment. Funds are also made available for specialist development courses such as our staff working in the maternity, children's and neonatal units were able to complete e-learning courses providing further development and training.

**Provide direct support to patients** - by way of information, networking support and better facilities such as:

- iPads for patient to family contact, when visiting is limited
- Glide away folding beds for patient relatives to use on the children's ward
- LED skylights for our C4 ward - Georgina unit (cancer services)

**Improve staff health and wellbeing** – More than ever with the ongoing Covid-19 pandemic and the pressure it is putting our staff under, staff wellbeing is vital to ensure our staff are physically and mentally healthy and that our patients receive the best possible care. The covid funding we received has supported staff rest areas indoors and outdoors across the trust and staff care packages.

### 2.2 Sharing charity news

All DGNHS Charity news is shared via several different mechanisms including press releases and photo opportunities and the social media accounts. Stay in the loop and be the first to know about our exciting events by hitting the 'Like' button on Facebook, and by following us on Instagram, Twitter, and LinkedIn.

- Twitter: @DGNHSCharity
- Facebook: Dudley Group NHS Charity
- Instagram: @dgnhscharity
- LinkedIn: Dudley Group NHS Charity

## **2. Highlights of the year 2021-2022**

### **3.1 April 2021**

#### **No Barriers Here**

The charity is part of the Black Country & West Birmingham STP Consortia of NHS Charities Together partnerships. Through this consortium we were successful in receiving a grant for £100,000, to support community partnerships in recognition of the impact of COVID-19 on the wider community.

We have used the funding for a two-year project in which the Trust Charity has partnered with Mary Stevens Hospice on a project called No Barriers Here. The project works with people from ethnic minority communities on a community-based research study to improve access to palliative and end-of-life care and equitable advanced care planning opportunities for people who may be excluded due to identity, culture, ethnicity, or race.

The aim is to develop and deliver art workshops to create conversations, so providers of end of life and palliative care in Dudley have a greater awareness of culturally sensitive issues. This will feed into future practice and strategies, so services are better suited to the communities' needs.

### **3.2 July 2021**

#### **Covid-19 Crisis Appeal**

The charity has received a total of £809,129.66 of donations from March 2020 until July 2021 which included grants from NHS Charities Together to support the Trust with the ongoing pandemic.

The corporate donations were made up by a significant donation from Intouch Games alongside a local manufacturing company Marcegaglia, and local building societies and solicitors. Our online covid appeal donations were raised through various fundraising activities by staff, patients, families, and individuals. The community donations have come from local community faith groups, rotary clubs' individuals, and charitable trusts.

### **3.3 October 2021**

#### **Virtual London Marathon**

We were delighted that five members of our staff – Matthew Welch, Rajeev Kumar, Sue Hammond, Claire MacDiarmid, Andrew Lee – and former Dudley Mayor Steve Waltho, ran the virtual London marathon in October 2021 for our charity and raised more than £12,000 between them – smashing their target of £3,000!



### 3.4 November 2021

#### Glitter Ball

Our first face to face charity, business networking fundraising event took place on Friday 5<sup>th</sup> of November 2021. We raised an incredible £10,000 through sponsorship, table packages, raffle, and an auction and all funds went towards our Better Brighter Futures Appeal. Our aim was to forge links with local businesses to support our Trust charity.

Headline sponsors were the Trusts PFI Partners Mitie and Summit Healthcare, and the event was supported by businesses which included HSBC, Dudley Building Society, Waldrons Solicitors, and George Green Solicitors. They joined some of our staff members for a wonderful evening of speeches by a selection of key staff members who spoke about their experience of the pandemic and their new innovative new ways of working.



#### Staff Well-Being Hub

The charity was successful in November 2021 in our Stage 3 funding application of **£121,000** from NHS Charities Together.

As part of our commitment to developing our staff wellbeing offer, exciting times are ahead of us with the build of a brand-new staff only wellbeing hub at Russells Hall Hospital site. The hub will provide staff a safe space to rest and recuperate improving access to space for health and wellbeing. The build is a joint funded project with the Trust Charity. Initial proposed ideas of what this area could look like include kitchenette facilities, large seating area, breakfast bar area and a separate breakout area.

### 3.5 December 2021

#### NHS Charities together Sun Appeal

The Trust Charity was chosen from hundreds of other NHS Charities to be part of the Joy to the Ward NHS Charities Together Sun Appeal that looked at how NHS charities are supporting children and their families over Christmas by featuring several hospitals across the country. The Polar Express came to the children's ward in December 2021.

The children's ward and children's outpatient areas were decorated as Winter Wonderland and themed around the Polar Express movie. Santa Claus and the elves visited the children and young people, and they were issued with their ticket to ride the Polar Express and meet Santa.

The Trust Charity received a percentage of the funds that are being raised from the NHS Charities Together Appeal. The story was featured in The Sun alongside the wonderful video: [www.thesun.co.uk/news/17110264/christmas-care-sick-kids-polar-express](http://www.thesun.co.uk/news/17110264/christmas-care-sick-kids-polar-express)



**3.6 January 2022**

### **The Blue Granits (Buskers)**

Busking duo Tom Stanton and Billy Spakemon aka The Blue Granits have been raising money for our charity over the last few years through busking on the streets of the Black Country. In 2019 they raised £6,500 towards our Trusts Diabetes Unit and in 2020 they managed to raise £8,000 towards our Georgina Unit. Over the past year in 2021, they excelled in their previous fundraising efforts by raising **£11,000** towards our Breast Cancer Care and Prostate Cancer Care departments.



### **Volunteering Futures Fund**

The Charity received a grant of £97,047 from NHS Charities Together and Department for Digital, Culture, Media, and Sport (DCMS) as part of their Volunteering Futures Fund. The funding is for a 15 month project which builds on our volunteering services and existing student volunteering programme at the Trust. The programme recruited and trained 10 of our existing volunteer service young volunteers as mentors and best practice assessors to support 150 new young volunteers who are currently experiencing barriers to volunteering in Dudley, supporting them to participate in flexible and digital volunteer roles at the Trust.



### 3.7 March 2022

#### Transport and General Workers Union Benevolent Fund

Young patients at Russells Hall Hospital will sleep better thanks to a generous donation from the Goodyear Ex-workers Benevolent Fund. The charity has donated £8,500 to purchase a SafeSpace Hi-Lo bed for the Children's Ward. The bed provides a comfortable, safe environment to sleep without the risk of injury from hard surfaces or moving parts. The enclosed feeling of the SafeSpace bed helps children with sensory processing issues to feel secure.

The funders visited the ward to see the bed in person and handover the cheque. This was the final grant from the fund as the charity is coming to an end, since 2018 they have donated £52,395 to our Trust charity.



#### HSBC Wolverhampton Market

HSBC Wolverhampton Markets have chosen the Trust Charity as Charity of the Year between their six branches: Wolverhampton, Dudley, Kingswinford, Brierley Hill, Stourbridge, and Halesowen. The branches are aiming to raise £10,000 over the year and have decided they would like their donations to go towards our children's and maternity departments at the Trust. We launched the relationship on the 23<sup>rd</sup> of March 2022 at Russells Hall Hospital with a tour of the departments.

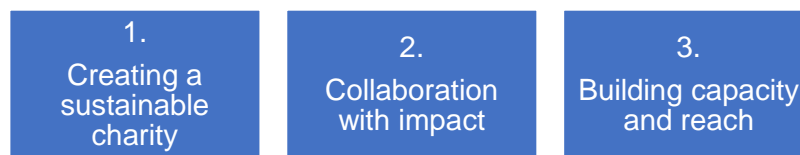


### 3. Above and beyond – what we have planned for 2022-2023

During the year a new post was created of head of fundraising for the Dudley Group NHS Charity to take the charity further in its next steps for fundraising. In July 2021 the post was filled and a short-term 12 month plan for the charity was created with the plan to create a longer term three year fundraising strategy for the years 2022-2025.

The charity has increased its resource from one full time staff member to 2.5 staff members with the recruitment of the head of fundraising and a communications and fundraising assistant who works across both teams.

#### 4.1 Fundraising Strategic Pillars



##### Pillar One - Creating a sustainable charity

The expansion of the charity team will mean different sources of revenue will be achievable. The aim is to grow and diversify our revenue base as well as securing multi-year funds by focusing on both unrestricted and restricted income to support a sustainable charity fund.

##### Grants & Trusts

The focus for the team will be to generate most of the yearly target from grant and trusts income. The team will be researching the current funding environment and will build a robust pipeline of potential grant givers and trusts. They will plan quarterly fundraising meetings with divisional leads to discuss their priorities and create cases of support and wish lists for both unrestricted (patient and staff well-being fund) and restricted (project or specific fund based) income.

##### Corporates

Funding from corporates for charity can come from:

- Corporate Foundations
- Corporate & Charity Strategic Partnerships
- Payroll Giving

These partnerships can take 6-12 months to develop our therefore our approach will be to:

- Pull together a list of all corporates we have ever engaged with and coordinate a re-engagement campaign.
- Build 2-3 bespoke corporate partnership packages.
- Create a corporate page on the website.
- Target top 20-30 local 'cold' businesses.
- Utilise our Local Enterprise Partnership/Chamber of Commerce.
- Attend corporate networking opportunities.

## **Individual Giving**

This income stream will combine income from the campaigns and appeals:

- We will develop a specific strategy to retain donors we already have and increase income from them with the propositions and 'products' we already have.
- Finding new donors through developing and deploying an acquisition strategy.
- Developing a new 'products and proposition strategy to increase and broaden the ways that existing donors can give and support.
- Creating new 'products and propositions to attract and acquire new donors.

## **Events**

- We will be consolidating the number of events the charity will be organising for the next 12 months.
- We will be moving away from staff engagement events and refocusing on the events being supported by external fundraisers.
- The key audiences we will be targeting are corporates, community organisations and individuals.

## **Donations in Memory**

As there is such a high return on investment with in-memory donations the fundraising team will be looking at dedicating some resource in the team to see how we could grow this arm of fundraising. They will be spending some time with the finance team to gain a better understanding of the current process and within the 12 months look to develop a stewardship plan for in-memory donators.

## **Stewardship**

The team will be looking after its funders by building a robust stewardship programme. If our funders feel valued by our charity and the trust, they are much more likely to give again. The team will ensure that we:

- Thank them regularly
- Keep them updated
- Organise visits
- Invite them to events

## **Pillar Two - Collaboration with impact**

This pillar is about creating collaborative partnerships with organisations outside the Trust and exploring how the charity can work more effectively with internal teams. The types of partnerships we will be exploring are:

- Joint Funding – with other community and voluntary organisations
- Black Country ICB (formerly BCWB STP) – consortia funding opportunities
- Joint Events – with other West Midland based NHS charities
- Public Sector Funding Bids – working with trust strategy team, Dudley MBC and education and skills sector

## **Pillar Three - Building capacity and reach**

### **Brand**

The team will focus on improving the charities brand and profile both internally and externally by making improvements to its digital footprint. The charity will be looking into recruiting local ambassadors and potentially a patron to help grow its awareness.

### **Empowerment**

The fundraising team will focus on empowering trust staff by creating a fundraising culture. We will be focusing on developing or improving our relationships with the following groups:

- Governors
- Non-Executives
- Executives
- Divisional Leads
- Department Managers
- Lead Nurses/Matrons
- Individual staff fundraisers

We will be creating a charity presentation and fundraising toolkit to increase knowledge and provide the tools to support all staff to carry out fundraising activities independently. We will also be looking into creating departmental fundraising champions which could complement the fund manager roles.

### **Fundraising Volunteers**

We will work with the trust volunteer coordinator to look at recruiting a bank of regular fundraising volunteers who could support the charity with fundraising events, co-ordinating the charity hub, and other fundraising activities.

## **Appendix 1 - Reference and Administrative Details**

### **Charity Name:**

The Dudley Group NHS Charity

### **Charity Registration Number:**

1056979

### **Governing Document:**

The Dudley Group NHS Charity, registered Charity Number 1056979, was entered on the Central Register of Charities on the 22 July 1996 as amended on 14 November 2011.

### **Charity Trustees:**

The Dudley Group NHS Foundation Trust is the Corporate Trustee of the Dudley Group NHS Charity (Trust Charity) and the Charitable Funds governed by the law applicable to Trusts, principally the Trustee Act 2000 and the Charities Act 2011.

The Corporate Trustee presents the Charitable Funds Annual Report and Summary Financial Statements for the year ended 31 March 2022.

The Charity's Annual Report and Financial Statements for the year ended 31 March 2022 have been prepared by the Corporate Trustee in accordance with the Charities Act 2011 and the Charities Statement of Recommended Practice 2015. The Charity's Annual Report and Financial Statements include all the separately established funds for which The Dudley Group NHS Foundation Trust is the sole beneficiary.

The Charity is constituted of 72 individual funds as at the 31 March 2022 (2020/21: 63) and the notes to the financial statements, as per the Financial Accounts 2021/22 distinguish the types of funds held and disclose separately all material funds.

Charitable funds received by the Charity are accepted and held and administered as funds and property held on trust for the purposes relating to the health service in accordance with the National Health Services Act 2006 and the National Health Service and Community Care Act 1990 and these funds are held on trust by the corporate body.

### **The members of the NHS Foundation Trust Board who served during the financial year were as follows:**

Dame Yve Buckland DBE - Chairman (non-executive director)

Diane Wake – Chief executive (executive director)

Tom Jackson – Finance director (executive director)

Karen Kelly – Chief operating officer (executive director)

Mary Sexton – Chief nurse (executive director)

Julian Hobbs – Medical director (executive director)

Adam Thomas – Chief information officer (executive director)

James Fleet – Chief people officer (executive director)

Katherine Sheeren – Director of strategy and partnerships (left November 2021)

Julian Atkins – Non-executive director

Jonathan Hodgkin – Non-executive director

Catherine Holland – Non-executive director

Liz Hughes MBE – Non-executive director

Gary Crowe – Non-executive director  
Vij Randeniya OBE – Non-executive director  
Lowell Williams - Non-executive director  
Dr Thuvarahan Amuthalingham - Associate Non-executive director  
Dr Gurjit Bhogal – Associate Non-executive director

The NHS Foundation Trust Board devolved responsibility for the on-going management of funds to the Charitable Funds Committee which administers the funds on behalf of the Corporate Trustee.

**The members of the Charitable Funds Committee who served during the financial year are as follows:**

Diane Wake – Chief executive (executive director)  
Tom Jackson – Finance director (executive director)  
Julian Atkins – Non-executive director (chair of the charitable funds committee)  
Jonathan Hodgkin– Non-executive director  
Dr Thuvarahan Amuthalingham - Associate Non-executive director

## **Appendix 2 - Principal Charitable Fund Advisers**

The following officers of The Dudley Group NHS Foundation Trust are the principal charitable fund advisers:

Diane Wake, chief executive, and Tom Jackson, Director of Finance, under a scheme of delegated authority as approved by the Corporate Trustee, have day-to-day responsibility for the management of the Charitable Fund and must personally approve, on behalf of the Corporate Trustee, all expenditure over £5,000 with an upper limit of £50,000 using their delegated authority. All executive directors and the deputy director of finance - Financial Reporting, under a scheme of delegated authority as approved by the Corporate Trustee, approve all expenditure up to £5,000.

Heather Taylor, head of financial services, advised and reported on charitable funds, including strategy, in this financial year.

Sarah Gregg, senior financial accountant, acted as the principal officer overseeing the day- to-day financial management and accounting for the charitable funds during the year.

Liz Abbiss, director of communications, advised on proposals for fundraising and spending strategy in this financial year and has responsibility for the communications and charity teams.

Nithee Kotecha, head of fundraising writes charity strategy and action plans relating to income generation as well as writing fundraising proposals and applications for grants. They also lead on organising fundraising events for the charity and manage the charity team (started July 2021).

Karen Phillips, fundraising manager, liaises with the wards and departments providing advice and support for the charity fundraising. They lead on key fundraising campaigns for the charity as well as apply for funding to local grants, foundations, and trusts. They work with local businesses to develop corporate partnerships as well as support with fundraising events for the charity.

Georgia Homer, communications, and fundraising assistant manages the charity's social media presence, designs promotional material for the charity, organises cheque presentations, and supports the team with fundraising events (started September 2021).

### **Principal Office for the Charity**

Finance Department,  
The Dudley Group NHS Foundation Trust,  
Trust Headquarters,  
Russells Hall Hospital, Dudley,  
West Midlands, DY1 2HQ.  
Telephone: 01384 321121

A full set of financial statements can be downloaded from our website [www.dudleygroup.nhs.uk/our-charity](http://www.dudleygroup.nhs.uk/our-charity) or by contacting the Charity Finance Team, on 01384 321121 or [dqft.charityfinance@nhs.net](mailto:dqft.charityfinance@nhs.net)

## **Appendix 3 - Principal Professional Advisers**

### **Bankers**

NatWest  
RBS European Operations Centre,  
Brampton Road,  
Newcastle-under-Lyme,  
Staffordshire,  
ST5 OQX

Santander UK plc  
Corporate & Commercial Banking  
1/35, 287-301 St Vincent Street  
Glasgow  
G25HN

### **Solicitors**

Mills & Reeve  
78-84 Colmore Row  
Birmingham  
B3 2AB

### **Investment Fund Managers**

BlackRock Investment Managers Limited  
PO Box 545  
Darlington  
DL1 9TQ

### **Independent Auditors**

Grant Thornton LLP  
The Colmore Building  
20 Colmore Circus Queensway  
Birmingham  
B46AT



## **Appendix 4 - Structure, Governance and Management**

The Dudley Group NHS Foundation Trust consists of Russells Hall Hospital (Acute Hospital), Corbett Outpatient Centre and Guest Outpatient Centre. The charitable funds are attributed in line with the Trust's Directorate structure. For example, charitable funds for Medicine include Medical Wards, Leukaemia, Respiratory, Diabetes, Rheumatology, Rehabilitation and Palliative care. The funds within Surgery include Ear, Nose and Throat (ENT), Ophthalmology, Surgical Wards, Breast Cancer Services, Surgery and Theatres. Other funds are within Obstetrics, Gynaecology, Pathology, Critical Care, Neonatal Services, Cardiology, Gastrointestinal Services, Renal Unit and Coronary Care. Adult Community Services include the District Nurse Teams Fund, Audiology, and the Community Rehabilitation Fund (which includes Neurology).

The Charity fund was established with the Charity Commission using the model declaration of trust and all funds held on trust as at the date of registration were classified as unrestricted funds or classified as designated funds under the main Charity. Subsequent donations and gifts received by the Charity that are attributable to the original funds are added to those fund balances within the existing Charity.

The Corporate Trustee fulfils its legal duty by ensuring that funds are spent in accordance with the objects of each fund. By designating funds, the key aim of the Trustee is to serve the NHS Trust for the public benefit, it respects the wishes of our generous donors to support patient care and advance the good health and welfare of patients, visitors, and staff. The Trustee have complied with their duty to have had regard to the Charity Commission's guidance on public benefit, with due regard for funding decisions to demonstrate public benefit in the Charity's work.

Non-Executive Members of the Trust Board are appointed by the Trust Governors. Executive members of the Board are subject to recruitment by the NHS Foundation Trust Board. Members of the Trust Board and the Charitable Funds Committee are not individual trustee under Charity Law but act as agents on behalf of the Corporate Trustee.

The newly appointed members of the Charitable Funds Committee are provided with an induction pack which provides information about the Charity, including the Charitable Funds Committee terms of reference, Trustees' Annual Report and Financial Statements, policies and minutes, and information about trusteeship, including Charity Commission booklet CC3, The Essential Trustee. The Chair gives new members of both the NHS Foundation Trust Board and the Charitable Funds Committee a briefing on the current policies and priorities for the charitable funds.

Acting for the Corporate Trustee, the Charitable Funds Committee is responsible for the overall management of the Charitable Fund. The Committee is required to:

- Control, manage and monitor the use of the fund's resources.
- Provide support and guidance for usage of funds, whilst managing and monitoring the receipt of all income.
- Ensure that 'best practice' is followed in the conduct of all its affairs fulfilling all of its legal responsibilities.
- Ensure that the Investment Policy approved by the NHS Foundation Trust Board as Corporate Trustee is adhered to and that performance is continually reviewed whilst being aware of ethical considerations.
- Keep the Trust Board fully informed on the activity, performance, and risks of the Charity.

The Charitable Funds Committee was established in 2006/07 and reports to the Trust Board. The objectives of the committee are to control the management and administration of the Charity in

accordance with the Charity's purposes and in line with the Charitable Funds Investment Policy. The committee will assist in the determination of the Charitable Funds Strategy ensuring that the Charity has all the hallmarks of an effective charity, as set out in the standards issued by the Charity Commission.

The accounting records and the day-to-day administration of the funds are dealt with by the Finance Department, Trust Headquarters, Russells Hall Hospital, Dudley, West Midlands, DY1 2HQ.

## **Appendix 5 - Risk Management**

The risks to which the Charity is exposed have been identified and considered.

### **Future levels of income**

The charity is reliant on donations to allow it to support the Trust and its plans for the future. The Trustees mitigate the risk that income will fall by engaging with the Charity Team. Fundraising activity is regularly reviewed at the Charity Committee meetings to understand what worked well and what improvements may be needed.

### **Fall in investment returns**

The most significant risk identified was a possible loss from a fall in the value of the investments and the level of reserves available to mitigate the impact of such losses. The charity committee review the investment returns at the quarterly meetings to ensure that both spending and financial commitments remain in line with available income.

An effective system of internal financial control is maintained and operated in connection with the charitable funds as the Charity is managed under the Governance and internal controls that are in place for The Dudley Group NHS Foundation Trust. The current system of internal financial control provides reasonable assurance of the safeguarding of assets, the maintenance of proper accounting records and the reliability of financial information.

### **Working in partnership for public benefit**

The Dudley Group NHS Foundation Trust is the main beneficiary of the Charity and is a related party by virtue of being Corporate Trustee of the Charity. The charities objectives are for any charitable purpose or purposes relating to the National Health Service, wholly or mainly for the service provided by The Dudley Group NHS Foundation Trust. The strategy of the Charity is to work in partnership with the Trust, ensuring the funds are used to best effect, by deciding upon the most beneficial way to use charitable funds regarding the main activities, objectives, strategies, and plans of the Trust.

Our mission statement is to enhance and further improve the high quality services provided by the Trust, providing additional comfort and benefit to its patients and staff, by focusing fund expenditure on areas not covered or fully supported by NHS funds.

The charity team continues to forge strong relationships with members of staff of the hospital and community donors, local businesses, and fundraisers. Without the support and co-operation of the wider community the effective contribution to the Trust would be much diminished. The director of communications and the head of fundraising has also ensured that public are thanked for the enormous fundraising goodwill has been achieved.

In accordance with the objectives and strategy of the Charity, the head of financial services and the head of fundraising liaise with fund managers and department heads to encourage the spending of their funds in accordance with the donor's wishes; by supporting research and procedures within the National Health Service, supporting capital developments and the purchase of medical equipment, provide training and development activities for clinical and other staff, to ensure public benefit.

The Leukaemia Appeal Fund, a separately registered Charity (charity number 701336) raises money specifically for the Georgina Unit at Russells Hall Hospital.

The Trust's Volunteer Service, managed by the volunteer co-ordinator, Jane Goldsmith provides a valuable service to patients, visitors, and staff. For more information call 01384 456111 ext. 3420 or email [jane.goldsmith1@nhs.net](mailto:jane.goldsmith1@nhs.net).

The Dudley Clinical Education Centre promotes and advances the study of science and medicine by supporting the professional education and development of Trust & Community staff and associated healthcare professionals. It supports key patients who are committed to further development of staff, by assisting in the training which empowers their contribution to specialist teams to improve the quality of care for all patients. For more information contact Kate Holmes, Medical Education Manager on 01384 321095 or email [kate.holmes8@nhs.net](mailto:kate.holmes8@nhs.net)

## **Annual Accounts 2021/2022**

NATIONAL HEALTH SERVICE

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DUDLEY GROUP NHS CHARITY

FINANCIAL STATEMENTS  
2021-22

**FOREWORD**

The Dudley Group NHS Charity funds are registered with the Charity Commission, reference number 1056979 and include funds in respect of The Dudley Group NHS Foundation Trust.

The financial statements for the year ended 31 March 2022 have been prepared in accordance with the requirements in The Charities (Accounts and Reports) Regulation 2008, Charities Act 2011 and the Financial Reporting Standards applicable in the UK and the Republic of Ireland (FRS102).

**MAIN PURPOSE OF THE FUNDS HELD ON TRUST**

The main purpose of charitable funds held on trust is to apply income for any charitable purpose relating to the National Health Service wholly or mainly for the services provided by The Dudley Group NHS Foundation Trust.

Signed:



Date: 24 January 2023

### Statement of trustee's responsibilities

The trustee is responsible for preparing the Trustee's Report and the financial statements in accordance with applicable law and regulations.

The law applicable to charities in England and Wales requires the trustee to prepare financial statements for each financial year. Under that law the trustee has prepared the financial statements in accordance with United Kingdom Generally Accepted Accounting Practice (United Kingdom Accounting Standards and applicable law). Under that law the trustee must not approve the financial statements unless they are satisfied that they give a true and fair view of the state of the affairs of the charity and of the incoming resources and application of resources of the charity for that period. In preparing these financial statements, the trustee is required to:

- select suitable accounting policies and then apply them consistently;
- observe the methods and principles in the Charities SORP (FRS102);
- make judgments and estimates that are reasonable and prudent
- state whether applicable UK Accounting Standards have been followed, subject to any material departures disclosed and explained in the financial statements; and
- prepare the financial statements on the going concern basis unless it is inappropriate to presume that the charitable company will continue in business.

The trustee is responsible for keeping accounting records that are sufficient to show and explain the charity's transactions and disclose with reasonable accuracy at any time the financial position of the charity and enable them to ensure that the financial statements comply with the Charities Act 2011, the Charity (Accounts and Reports) Regulations 2008 and the provision of the trust deed. They are also responsible for safeguarding the assets of the charity and hence for taking reasonable steps for the prevention and detection of fraud and other irregularities.

The trustee is responsible for the maintenance and integrity of the charity and financial information included on the charity's website. Legislation in the United Kingdom governing the preparation and dissemination of financial statements may differ from legislation in other jurisdictions.

The trustee confirms that:

- so far as each trustee is aware, there is no relevant audit information of which the charitable company's auditor is unaware; and
- the trustees have taken all the steps that they ought to have taken as trustees in order to make themselves aware of any relevant audit information and to establish that the charitable company's auditor is aware of that information.

Signed on behalf of the trustee:

Chairman 

Date: 24 January 2022

Trustee 

Date: 24 January 2022

# Independent auditor's report to the corporate trustee of Dudley Group NHS Charity

## Opinion

We have audited the financial statements of Dudley Group NHS Charity (the 'charity') for the year ended 31 March 2022, which comprise the Statement of Financial Activities, the Balance Sheet, the Cash Flow Statement and notes to the financial statements, including a summary of significant accounting policies. The financial reporting framework that has been applied in their preparation is applicable law and United Kingdom Accounting Standards, including Financial Reporting Standard 102; 'The Financial Reporting Standard applicable in the UK and Republic of Ireland' (United Kingdom Generally Accepted Accounting Practice).

In our opinion, the financial statements:

- give a true and fair view of the state of the charity's affairs as at 31 March 2022 and of its incoming resources and application of resources for the year then ended;
- have been properly prepared in accordance with United Kingdom Generally Accepted Accounting Practice; and
- have been prepared in accordance with the requirements of the Charities Act 2011.

## Basis for opinion

We have been appointed as auditor under section 144 of the Charities Act 2011 and report in accordance with regulations made under section 154 of that Act. We conducted our audit in accordance with International Standards on Auditing (UK) (ISAs (UK)) and applicable law. Our responsibilities under those standards are further described in the 'Auditor's responsibilities for the audit of the financial statements' section of our report. We are independent of the charity in accordance with the ethical requirements that are relevant to our audit of the financial statements in the UK, including the FRC's Ethical Standard, and we have fulfilled our other ethical responsibilities in accordance with these requirements. We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our opinion.

## Conclusions relating to going concern

We are responsible for concluding on the appropriateness of the trustee's use of the going concern basis of accounting and, based on the audit evidence obtained, whether a material uncertainty exists related to events or conditions that may cast significant doubt on the charity's ability to continue as a going concern. If we conclude that a material uncertainty exists, we are required to draw attention in our report to the related disclosures in the financial statements or, if such disclosures are inadequate, to modify the auditor's opinion. Our conclusions are based on the audit evidence obtained up to the date of our report. However, future events or conditions may cause the charity to cease to continue as a going concern.

In our evaluation of the trustee's conclusions, we considered the inherent risks associated with the charity's business model, we assessed and challenged the reasonableness of estimates made by the corporate trustee and the related disclosures and analysed how those risks might affect the charity's financial resources or ability to continue operations over the going concern period.

Based on the work we have performed, we have not identified any material uncertainties relating to events or conditions that, individually or collectively, may cast significant doubt on the charity's ability to continue as a going concern for a period of at least twelve months from when the financial statements are authorised for issue.

In auditing the financial statements, we have concluded that the trustee's use of the going concern basis of accounting in the preparation of the financial statements is appropriate.



that material misstatements in the financial statements may not be detected, even though the audit is properly planned and performed in accordance with the ISAs (UK).

The extent to which our procedures are capable of detecting irregularities, including fraud is detailed below:

- We obtained an understanding of the legal and regulatory frameworks that are applicable to the charity and determined that the most significant which are directly relevant to specific assertions in the financial statements are those related to the reporting frameworks (The Charities Act 2011, the Charities SORP and United Kingdom Accounting Standards, including Financial Reporting Standard 102; 'The Financial Reporting Standard applicable in the UK and Republic of Ireland' (United Kingdom Generally Accepted Accounting Practice);
- We enquired of management, concerning the charity's policies and procedures relating to:
  - the identification, evaluation and compliance with laws and regulations;
  - the detection and response to the risks of fraud; and
  - the establishment of internal controls to mitigate risks related to fraud or non-compliance with laws and regulations;
- We enquired of management, whether they were aware of any instances of non-compliance with laws and regulations or whether they had any knowledge of actual, suspected or alleged fraud;
- We assessed the susceptibility of the charity's financial statements to material misstatement, including how fraud might occur, by evaluating incentives and opportunities for manipulation of the financial statements. This included the evaluation of the risk of management override of controls. We determined that the principal risks were in relation to:
  - journal entries that altered the financial performance for the year; and
  - potential management bias in determining accounting estimates, especially in relation to accruals of income and expenditure at the end of the financial year.
- Our audit procedures involved:
  - evaluation of the design effectiveness of controls that management has in place to prevent and detect fraud;
  - journal entry testing, with a particular focus on significant journals at the end of the financial year which impacted on the financial performance; and
  - assessing the extent of compliance with the relevant laws and regulations as part of our procedures on the related financial statement item.
- These audit procedures were designed to provide reasonable assurance that the financial statements were free from fraud or error. The risk of not detecting a material misstatement due to fraud is higher than the risk of not detecting one resulting from error and detecting irregularities that result from fraud is inherently more difficult than detecting those that result from error, as fraud may involve collusion, deliberate concealment, forgery or intentional misrepresentations. Also, the further removed non-compliance with laws and regulations is from events and transactions reflected in the financial statements, the less likely we would become aware of it;
- Our assessment of the appropriateness of the collective competence and capabilities of the engagement team included consideration of the engagement team's:
  - understanding of, and practical experience with audit engagements of a similar nature and complexity through appropriate training and participation
  - knowledge of the sector in which the charity operates
  - understanding of the legal and regulatory requirements specific to the charity;
- In assessing the potential risks of material misstatement, we obtained an understanding of:
  - The charity's operations, including the nature of its income and expenditure and its services and of its objectives and strategies to understand the classes of transactions, account balances, expected financial statement disclosures and business risks that may result in risks of material misstatement

**Statement of Financial Activities for the year ended 31 March 2022**

	Note	Unrestricted Funds £000	Restricted Funds £000	2021/22 Total Funds £000	2020/21 Total Funds £000
<b>Income from</b>					
Donations and legacies	3	430	136	566	1,007
Investments	14	37	11	48	54
Other trading activities	4	86	0	86	30
Other	5	1	0	1	1
<b>Total income</b>		<b>554</b>	<b>147</b>	<b>701</b>	<b>1,092</b>
<b>Expenditure on</b>					
Raising funds	7 & 10	95	111	206	79
Charitable activities	7	193	207	400	678
Other expenditure	7	15	4	19	20
<b>Total expenditure</b>	21	<b>303</b>	<b>322</b>	<b>625</b>	<b>777</b>
<b>Net income/(expenditure)</b>		<b>251</b>	<b>(175)</b>	<b>76</b>	<b>315</b>
Net Gains/(losses) on investments	13	64	0	64	219
<b>Net income/(expenditure) after Gains/(Losses) on investments</b>		<b>315</b>	<b>(175)</b>	<b>140</b>	<b>534</b>
Transfers between funds		41	(41)	0	0
<b>Net Movement in funds</b>	11	<b>356</b>	<b>(216)</b>	<b>140</b>	<b>534</b>
<b>Reconciliation of Funds</b>					
Total Unrestricted Funds brought forward		1,882	0	1,882	1,821
Total Restricted Funds brought forward		0	528	528	55
<b>Total Funds carried forward</b>		<b>2,238</b>	<b>312</b>	<b>2,550</b>	<b>2,410</b>

The notes on pages 9 to 19 form part of these financial statements.


All activities arise from continuing activities. There were no recognised gains or losses after those shown above. The statement is equivalent to the income and expenditure account.

CHARITABLE TRUST ACCOUNT - DUDLEY GROUP NHS CHARITY - 2021/22

Balance Sheet as at 31 March 2022

	Note	Unrestricted Funds £000	Restricted Funds £000	Total at 31 March 2022 £000	Total at 31 March 2021 £000
<b>Non Current Assets</b>					
Intangible Assets	12	0	0	0	0
Investments	13	1,469	0	1,469	1,405
Debtors over 1 year	15	0	0	0	0
<b>Total Fixed Assets</b>		<b>1,469</b>	<b>0</b>	<b>1,469</b>	<b>1,405</b>
<b>Current Assets</b>					
Debtors	15	16	6	22	21
Cash and cash equivalents	16	777	325	1,102	1,034
<b>Total Current Assets</b>		<b>793</b>	<b>331</b>	<b>1,124</b>	<b>1,055</b>
Creditors falling due within one year	17	25	19	44	50
<b>Net Current Assets</b>		<b>769</b>	<b>312</b>	<b>1,081</b>	<b>1,005</b>
<b>Total Assets Less Current Liabilities</b>		<b>2,238</b>	<b>312</b>	<b>2,550</b>	<b>2,410</b>
Creditors falling due after more than one year	17	0	0	0	0
Provisions for liabilities and charges		0	0	0	0
<b>Total Net Assets</b>		<b>2,238</b>	<b>312</b>	<b>2,550</b>	<b>2,410</b>
<b>Funds of the Charity</b>					
Restricted income funds	18	0	312	312	528
Unrestricted income funds	19	2,238	0	2,238	1,882
<b>Total Funds</b>		<b>2,238</b>	<b>312</b>	<b>2,550</b>	<b>2,410</b>

The financial statements were approved by the trustee and authorised for issue on their behalf by:

Signed: 

Date: 24 January 2023

Funds of the Charity comprise Unrestricted Funds £2,237,000 (2020/21 £1,822,000) of which £1,695,000 (2020/21 £1,687,000) have been designated for specific purposes and Restricted Funds £312,000 (2020/21 £528,000). Unrestricted Funds comprise those funds that the trustee is free to use for any purpose in furtherance of the Charity objectives, Restricted Funds are specific appeals for funds or donations where legal restrictions have been imposed by the Donor.

CHARITABLE TRUST ACCOUNT - DUDLEY GROUP NHS CHARITY - 2021/22

Cash Flow Statement for the year ended 31 March 2022

	2021/22 Total Funds £000	2020/21 Total Funds £000
<b>Reconciliation of net income/(expenditure) to net cash flow from operating activities</b>		
Net income/(expenditure) for the reporting period (as per the statement of financial activities)	76	315
Adjustments for:		
Depreciation charge	0	0
Dividends and interest from investments	(49)	(54)
(Increase)/decrease in debtors	(1)	(9)
Increase/(decrease) in creditors	(6)	(13)
Net cash provided by (used in ) operating activities	<u>20</u>	<u>239</u>
<b>Cash flows from operating activities:</b>		
Net cash provided by (used in) operating activities	20	239
<b>Cash flows from investing activities:</b>		
Dividends and interest from investments	<u>48</u>	<u>54</u>
Net cash provided by (used in) investing activities	<u>48</u>	<u>54</u>
Change in cash and cash equivalents in the reporting period	<u>68</u>	<u>293</u>
<b>Cash and cash equivalents at 1 April</b>	<u>1,034</u>	<u>741</u>
<b>Cash and cash equivalents at 31 March</b>	<u>1,102</u>	<u>1,034</u>
<b>Analysis of cash and cash equivalents</b>		
Cash in hand	602	534
Notice deposits	<u>500</u>	<u>500</u>
<b>Total cash and cash equivalents</b>	<u>1,102</u>	<u>1,034</u>