

Trust Headquarters
Russell's Hall Hospital
Dudley
West Midlands
DY1 2HQ

Ref: FOI-022025-0001401

Date: 26.3.25

Address / Email:

Dear

Request Under Freedom of Information Act 2000

Thank you for requesting information under the Freedom of Information Act 2000.

Request

I am looking for information surrounding CQC inspection and/or Trust risk register actions relating to patient data and recorded risks relating to the availability of patient data (digital and physical data assets). Please find my questions below:

Q1: How many Clinical IT System/Patient Data risks with a risk score of 16 or above have been recorded on the Trust risk register in Financial Years 2022/23 and 2023/24?

Q2: How many Physical Patient Record risks with a risk score of 16 or above have been recorded on the Trust risk register in Financial Years 2022/23 and 2023/24?

Q3: How many Datix Incidents did the Trust record in Financial Years 2022/23 and 2023/24?

Q4: Of these incidents recorded in Datix or other risk-based reporting systems:

- a) How many were categorised as no harm?
- b) How many were categorised as no harm but appointment rescheduled?
- c) How many were categorised as low harm (minor harm)?
- d) How many were categorised as moderate harm?
- e) How many were categorised as severe harm (significant harm)?
- f) How many were categorised as death (catastrophic)?
- g) If your organisation uses different grading criteria or additional categories, could you please specify and provide details?

Q5: Could you please provide the total number of incidents reported in Datix or any other risk-based reporting systems for the years 2022/23 and 2023/24 that are specifically related to Digital Patient Records?

Q6: For the incidents recorded in response to Q5 that are recorded in Datix or other risk-based reporting systems:

- a) How many were categorised as no harm?
- b) How many were categorised as no harm but appointment rescheduled?
- c) How many were categorised as low harm (minor harm)?
- d) How many were categorised as moderate harm?
- e) How many were categorised as severe harm (significant harm)?
- f) How many were categorised as death (catastrophic)?
- g) If your organisation uses different grading criteria or additional categories, could you please specify and provide details?

Q7: Could you please provide the total number of incidents reported in Datix or any other risk-based reporting systems for the years 2022/23 and 2023/24 that are specifically related to Physical Patient Records?

Q8: For the incidents listed in response to Q7 that are recorded in Datix or other risk-based reporting systems:

- a) How many were categorised as no harm?
- b) How many were categorised as no harm but appointment rescheduled?
- c) How many were categorised as low harm (minor harm)?
- d) How many were categorised as moderate harm?
- e) How many were categorised as severe harm (significant harm)?
- f) How many were categorised as death (catastrophic)?
- g) If your organisation uses different grading criteria or additional categories, could you please specify and provide details?

Q9: Has the Trust received any recommendations from the Care Quality Commission (CQC) on resolving the fragmentation or dispersal of patient data and / or medical records across the Trust?

Responses

Please see responses on attached spreadsheet.

For a copy of the spreadsheet please contact dgft.foi@nhs.net quoting the FOI reference number.

If you are dissatisfied with our response, you have the right to appeal in line with guidance from the Information Commissioner. In the first instance you may contact the Information Governance Manager of the Trust.

Information Governance Manager
Trust Headquarters
Russell's Hall Hospital
Dudley
West Midlands
DY1 2HQ
Email: dgft.dpo@nhs.net

Should you disagree with the contents of our response to your appeal, you have the right to appeal to the Information Commissioners Office at.

Information Commissioners Office
Wycliffe House
Water Lane
Wilmslow
Cheshire
SK9 5AF
Tel: 0303 123 1113
www.ico.org.uk

If you require further clarification, please do not hesitate to contact us.

Yours sincerely

Freedom of Information Team
The Dudley Group NHS Foundation Trust