

Date: 08/03/2019

FREEDOM OF INFORMATION REQUEST FOI/014633 – Exception reports

I am writing to you under the Freedom of Information Act 2000 to request the following information.
Data provided between Sept 2017 and Sept 2018.

1. How many exception reports were submitted between September 2017 and September 2018? - 31
2. How many exception reports resulted in Time Off In Lieu being given? - 4
3. How many hours of TOIL were undertaken? - Approx 11 hours
4. How many exception reports resulted in payment for extra hours? - 13
5. How many fines were issued and what was the total value of the fines? - 16/17 = £3975.60, 17/18 = £612.60, 18/19 = £0 so far
6. How many reports were escalated to level 1, level 2 and level 3? - None – initial review only
7. How many reports led to service or rostering changes? - 2
8. Please provide a copy of your exception reporting policy and/or guidance. - Please see attached
9. Please provide a copy of the most recent guardian of safe working hours report to the board. – This is published on the Trust website please go to <http://www.dgft.nhs.uk/about-us/board-of-directors/board-meetings/> December 2018
10. What is the audit process for exception report fines? - Fines are managed by the Guardian Lead and Medical Workforce. All financial transactions occur via Payroll and Management Accounts and reported to the Guardian